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<b>(21) International Application Number:</b> PCT/SE96/00873 <b>(22) International Filing Date:</b> 1 July 1996 (01.07.96) <b>(30) Priority Data:</b> 9502631-6 17 July 1995 (17.07.95) SE <b>(71) Applicant (for all designated States except US):</b> SVERIGES STÄRKELSEPRODUCENTER, FÖRENING UPA [SE/SE]; P.O. Box 75, S-374 22 Karlshamn (SE). <b>(72) Inventor; and</b> <b>(75) Inventor/Applicant (for US only):</b> WIKSTRÖM, Olle [SE/SE]; Vasagatan 1, S-291 53 Kristianstad (SE). <b>(74) Agent:</b> AWAPATENT AB; P.O. Box 5117, S-200 71 Malmö (SE).	<b>(81) Designated States:</b> AL, AM, AT, AT (Utility model), AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, CZ (Utility model), DE, DE (Utility model), DK, DK (Utility model), EE, EE (Utility model), ES, FI, FI (Utility model), GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (Utility model), TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>With international search report.</i> <i>In English translation (filed in Swedish).</i>	
<b>(54) Title:</b> FINISHING AGENT  <b>(57) Abstract</b>  The use of amylopectin-type starch obtained from potato that has been subjected to a genetical engineering modification to suppress the formation of amylose-type starch, as a finishing agent in papermaking is described.		

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FINISHING AGENT

The present invention relates to the use of amylopectin-type starch or derivatives thereof as a finishing agent in papermaking.

More specifically, the present invention relates to  
5 the use of amylopectin-type starch obtained from potato that has been modified by genetical engineering, to suppress the formation of amylose-type starch.

Background of the Invention

In the manufacture of paper and paperboard intended  
10 for some kind of printing the print-receiving surface is treated either by a pure starch solution or by a coating slip. When traditional starch of any known origin (potato, maize, wheat and tapioca) is used, more than one chemical modification step is required in many cases to obtain a  
15 product possessing sufficient stability. The various modification steps usually include a stabilising step, for instance an etherification reaction with propene dioxide or an esterification reaction with vinyl acetate or acetic anhydride, and a degradation step, such as oxidation, en-  
20 zymatic degradation, acid hydrolysis or so-called thermochemical conversion. During the above steps substitution of the starch takes place, and the degree of substitution pertaining to the corresponding stabilising step usually amounts to 0.01 - 0.5 mole/mole glucose, preferably  
25 0.05 - 0.20 mole/mole.

The necessity to carry out more than one modification step entails costs and has negative effects on the environment, and a reduction of the required number of chemical modification steps thus is desirable.

30 Object of the Invention

The object of the present invention is to reduce the number of chemical modification steps necessary to obtain a stable finishing agent for use on paper. This object is achieved by using amylopectin-type starch of the kind out-

lined in the introduction, which starch additionally possesses the characteristics defined in the appended claims.

Summary of the Invention

In accordance with the present invention amylo-  
5 pectin-type starch or derivatives thereof thus are used as a finishing agent in papermaking.

The expression "amylopectin-type starch" as used throughout in the present application text relates to starch obtained from potato that has been submitted to  
10 genetical engineering in order to suppress the formation of amylose-type of starch. The expression "derivatives thereof" relates to chemically, physically and/or enzymatically derivatised amylopectin-type starch. The amylopectin content of amylopectin-type starch is in excess  
15 of 95%, preferably in excess of 98%. The remaining ingredient in amylopectin-type starch is amylose.

A detailed description of the above amylopectin-type starch and derivatives thereof and the methods of their production is found in the Swedish Patent Specification  
20 9004096-5 (Amylogene HB).

When practising the invention, amylopectin-type starch is added in amounts of 0.5-8% by weight, preferably 2-6% by weight, during the production process.

By means of the present invention it thus becomes  
25 possible to eliminate the above-mentioned stabilising step, since the amylopectin in the starch used in accordance with the invention, having strongly reduced amylose contents on account of its branched structure, possesses excellent stability. Thus, only one chemical reaction is  
30 required to produce the finishing agent, and consequently surface-sizing and coating products may be manufactured in a manner that is considerably less harmful to the environment than has hitherto been possible. The reason therefor is not only the elimination of the modification  
35 step but also that it has become possible to alter the degradation step. In consequence of this alteration the amount of oxidising agent required to degrade the amylo-

pectin in the starch used in accordance with the invention is approximately 50% lower than the amount required to degrade traditional potato starch. In general, sodium hypochlorite, hydroperoxide or some type of persulphate compound, such as sodium persulphate, is used as the degradation chemical.

It is not previously known to use amylopectin-type starch as a finishing agent for use on paper. Practical tests have shown, however, that the use of amylopectin-type starch gives surprising and unexpected combined effects inasmuch as it permits the finishing agent to be produced by a simplified as well as environmentally less harmful method.

The invention will be explained in greater detail in the following by means of the Examples below.

Example 1

Oxidised starch based on potato starch was produced in the following manner. 100 kg potato starch were formed into a slurry in water. The pH-value of the slurry was adjusted to 9.5 by means of sodium hydroxide. 23.4 litres of sodium hypochlorite containing an active substance of 150 g/litre active chlorine were added. The pH-value of the reaction was maintained constant by addition of sodium hydroxide. Once the reaction was complete, i.e. when all chlorine had been used up, the reaction was interrupted by neutralisation, by means of hydrochloric acid, to a pH-value of 5.5, whereupon the product was de-watered and washed before drying. The following values were obtained from an analysis of the product:

Dry contents, %	82.4	
pH of slurry	8.0	
pH of solution	6.4	
Conductivity, $\mu\text{S}/\text{cm}$	155	
Contents of carboxyl, %	0.87	
Viscosity at different temperatures		
Viscosity, cP, 25%, 100 rpm	80°C	80
	70°C	95

50°C	135
30°C	232

## Viscosity at different concentrations

5	Viscosity, cP, 50°C, 100 rpm	10%	26
		15%	47
		20%	76
		25%	135
		30%	343
10		35%	600

## Stability

15	Viscosity, cP, 25%, 25°C, 100 rpm	0 min	350
		60 min	580
		120 min	780
		180 min	1250
		1 day	firm gel formed

20        At comparatively high concentration levels (25%),  
the product exhibits very poor stability at low tempera-  
tures (25°C), and already after the lapse of two h the  
viscosity is more than doubled. This behaviour is typical  
in traditional oxidised starches, and these products can  
25 only be used at low concentration levels and when the  
temperature is at least 50°C.

Example 2

30        Oxidised amylopectin starch from potato was produced  
in the following manner. 100 kg amylopectin-type starch  
was formed into an aqueous slurry. The pH value of the  
slurry was adjusted to 9.5 by means of sodium hydroxide.  
11.0 litres of sodium hypochlorite containing an active  
substance of 150 g/litre active chlorine was added. The pH  
value of the reaction was maintained constant by addition  
35 of sodium hydroxide. Once the reaction was completed, i.e.  
when all chlorine had been consumed, the reaction was  
interrupted by neutralisation, by means of hydrochloric

acid, to a pH value of 5.5, whereupon the product was dewatered and washed before drying. The following values were obtained in an analysis of the product:

	Dry contents, %	83.5	
5	pH of slurry	8.0	
	pH of solution	6.1	
	Conductivity, $\mu\text{S}/\text{cm}$	149	
	Contents of carboxyl, %	0.76	
	Viscosity at different temperatures		
10	Viscosity, cP, 25%, 100 rpm	80°C	78
		70°C	92
		50°C	131
		30°C	198
15	Viscosity at different concentrations		
	Viscosity, cP, 50°C, 100 rpm	10%	24
		15%	44
		20%	73
		25%	116
20		30%	203
		35%	603
	Stability		
	Viscosity, cP, 25%, 25°C, 100 rpm	0 min	267
25		60 min	267
		120 min	267
		180 min	270
		1 day	311
		2 days	315
30		3 days	350

The stability of the product is highly satisfactory, also at low temperatures (25°C), and the viscosity had increased only marginally after storage of the product for up to 3 days. The product may be used without difficulty in high concentrations, also if the temperature is allowed to become lower. This is possible in the case of

products having potato starch as its base only if the product is stabilised by an esterification or etherification reaction.



## CLAIMS

1. The use of amylopectin-type starch obtained from  
5 potato that has been modified by genetical engineering to  
suppress the formation of amylose-type starch, as a finishing agent in papermaking.
2. The use in accordance with claim 1, wherein the  
amylopectin-type starch contains more than 95% amylopec-  
10 tin, preferably more than 98% amylopectin.
3. The use in accordance with claim 1, wherein a  
derivative of chemically, physically and/or enzymatically  
derivatised amylopectin-type starch is added as a finish-  
ing agent.
- 15 4. The use according to any one of the preceding  
claims, wherein the amylopectin-type starch or deriva-  
tives thereof is added in amounts of 0.5-8% by weight,  
preferably 2-6% by weight.
5. The use according to any one of the preceding  
20 claims, wherein the amylopectin-type starch is subjected  
to oxidation, enzymatic treatment, acid hydrolysis or  
thermo-chemical conversion.
6. The use as claimed in claim 5, wherein the  
thermo-chemical conversion is effected by means of com-  
25 pounds of persulphate or peroxide.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/SE 96/00873

## A. CLASSIFICATION OF SUBJECT MATTER

IPC6: D21H 17/28, D21H 19/12, D21H 19/54

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC6: D21H

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

SE,DK,FI,NO classes as above

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

WPI, CLAIMS, JAPIO, PAPERCHEM

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	GB 1028758 A (NATIONAL STARCH AND CHEMICAL CORPORATION), 4 May 1966 (04.05.66), page 3, line 19 - line 32, claim 1 --	1-6
A	EP 0353212 A1 (W.R. GRACE & CO.-CONN.), 31 January 1990 (31.01.90), page 3, line 36 - line 60, abstract --	1-6
A	WO 9211376 A1 (AMYLOGENE HB), 9 July 1992 (09.07.92), page 1, claims 1-3, abstract --	1-6

☒ Further documents are listed in the continuation of Box C.☒ See patent family annex.

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## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5365016 A (MICHAEL M. BURRELL ET AL), 15 November 1994 (15.11.94), column 1, line 9 - line 15; column 2, line 54 - line 59, claim 1  -- -----	1-6

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

01/10/96

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PCT/SE 96/00873

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